

# Online Frequency Assignment in Wireless Communication Networks

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Joint work with Dr WT Chan, Dr Deshi Ye  
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A presentation especially for COCOON 2007, Banff

This is Hong Kong!



# Frequency Assignment Problem (FAP) Outline

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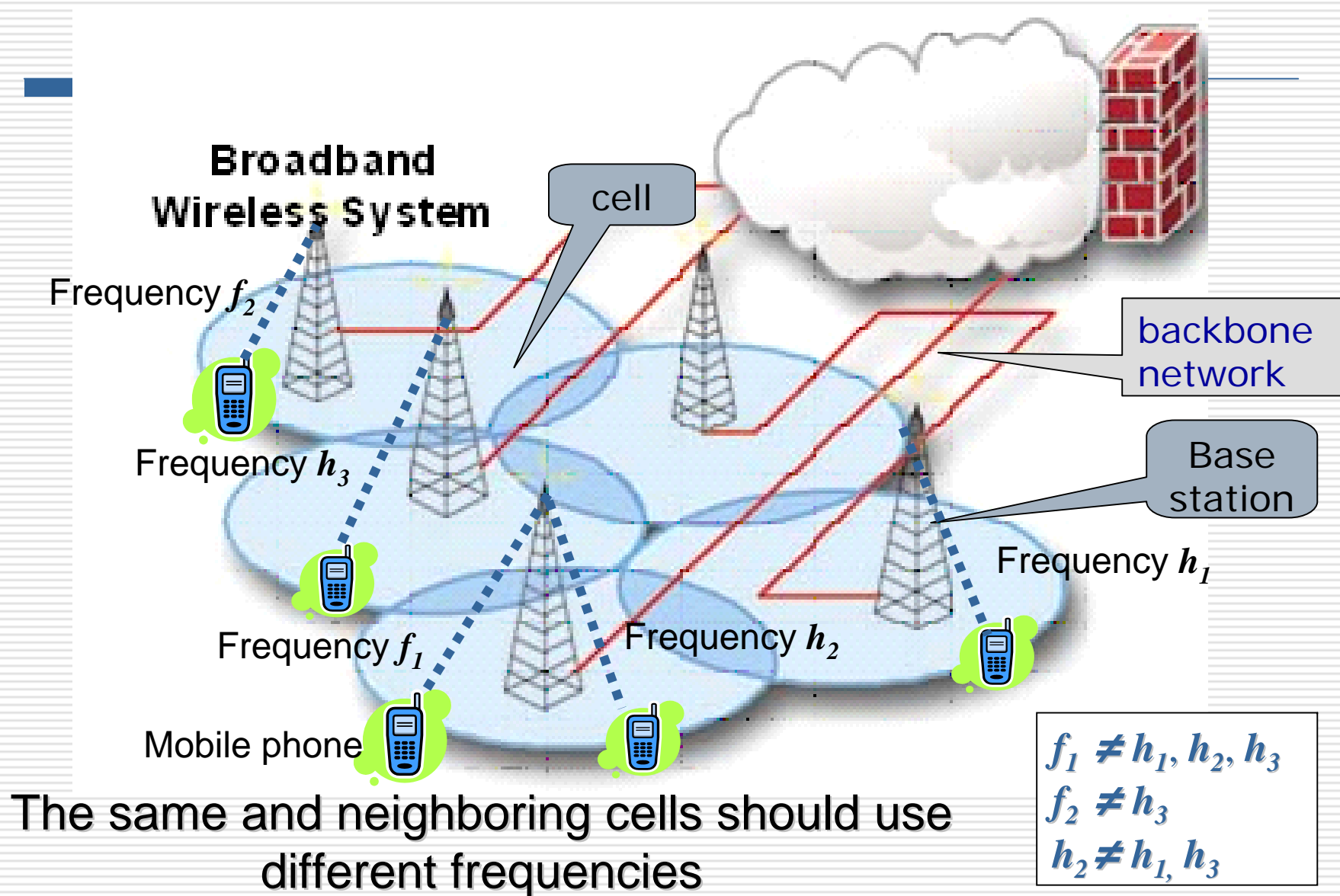
- Background
- FAP without reassignments
  - Absolute competitive ratio
  - Asymptotic competitive ratio
  - With deletion
- FAP with reassignments
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# Frequency Assignment Problem (FAP)

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- Wireless communication has a long history
- Frequency bandwidth is always a scarce resource and FAP has always been an important problem
- New problems come up because of
  - Change of technology
    - Analog to digital
    - MTS, AMPS, GSM, FDMA, CDMA
  - New algorithmic techniques
    - Approximation and online analysis

# Wireless Communication Network



# Frequency Allocation Problem (FAP)

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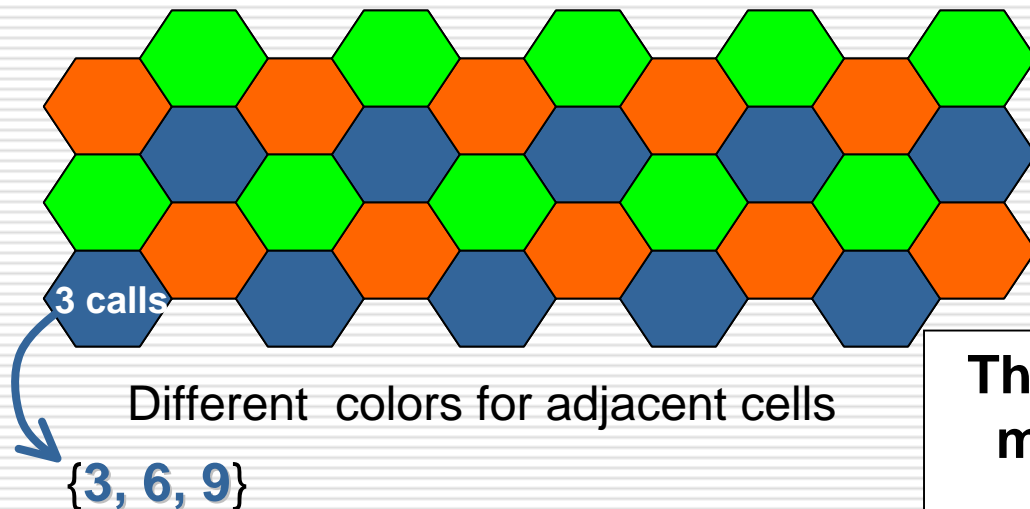
- Given the **hexagon graph**
- **Frequencies:** represented by  $\{1, 2, 3, \dots\}$
- **Interference constraint:**  
calls from the same or adjacent cells use different frequencies
- **Online:**
  - calls arrive one at a time
  - assigned frequencies never changed
  - calls never terminate
- **Problem:**  
minimize the **span** of frequencies used

# Fixed Allocation

Cells are colored by **R**, **G**, and **B**

Frequencies are partitioned into 3 disjoint sets

- $F_R = \{1, 4, 7, \dots\}$  ← For cells with color **R**
- $F_G = \{2, 5, 8, \dots\}$  ← For cells with color **G**
- $F_B = \{3, 6, 9, \dots\}$  ← For cells with color **B**



Frequency allocation rule:  
Use frequencies according to the color of the cells, starting from the lowest frequency

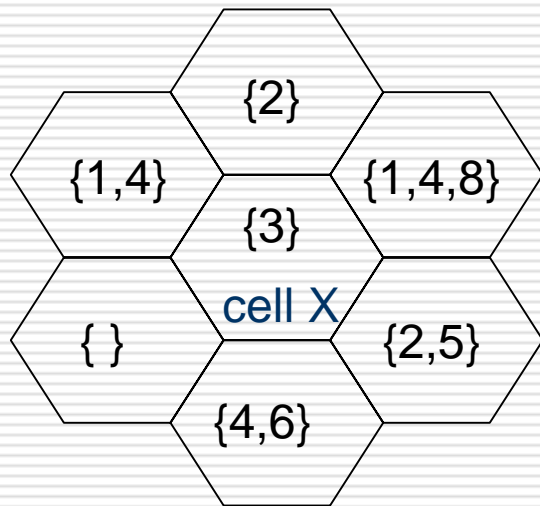
The span of frequencies used is at most **3** times that of the optimal.  
**(3-competitive)**

# Greedy Allocation

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Frequency allocation rule:

- Assign the lowest available frequency



E.g., frequency 7 is assigned to cell X

**The span of frequencies used is at most 17/7 ( $\approx$ 2.43) times that of the optimal.**

[Chan,Chin,Ye,Zhang,Zhu, IPL 2006]

**The ratio is tight** [Caragiannis, et al, SPAA 2000]

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# Hybrid Allocation (Chan et al SPAA2007)

Color the cells by **R**, **G**,  
and **B**

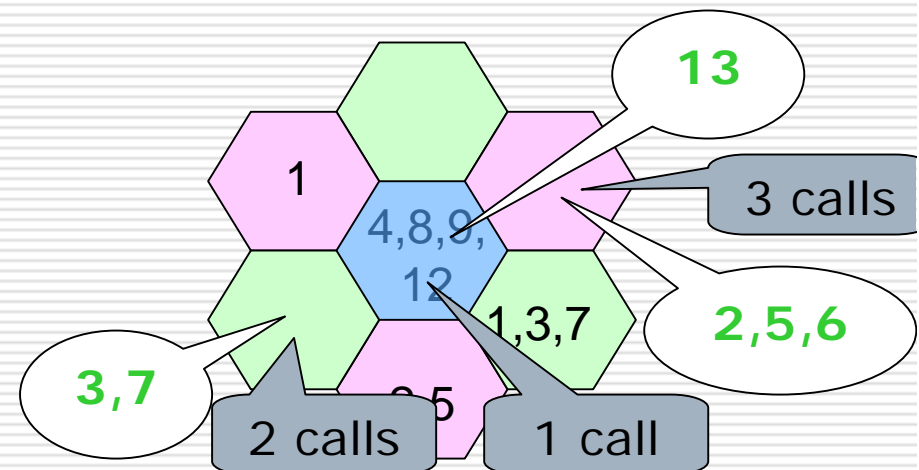
Partition the frequencies  
into 4 disjoint sets

- $F_S = \{1, 5, 9, 13, \dots\}$
- $F_R = \{2, 6, 10, \dots\}$
- $F_G = \{3, 7, 11, \dots\}$
- $F_B = \{4, 8, 12, \dots\}$

- ← Shared by all cells
- ← For cells with color **R**
- ← For cells with color **G**
- ← For cells with color **B**

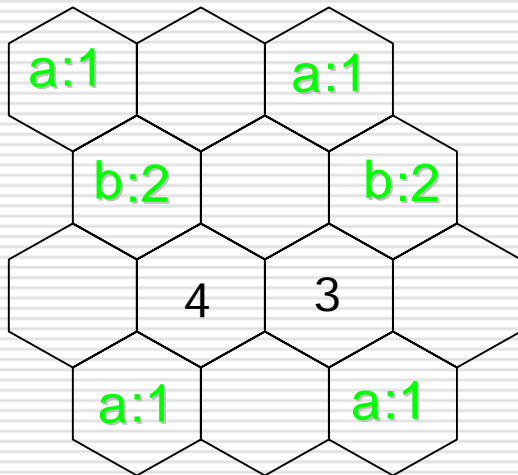
Frequency allocation rule

- Assign the **lowest**  
available frequency in  
 $F_S \cup F_x$

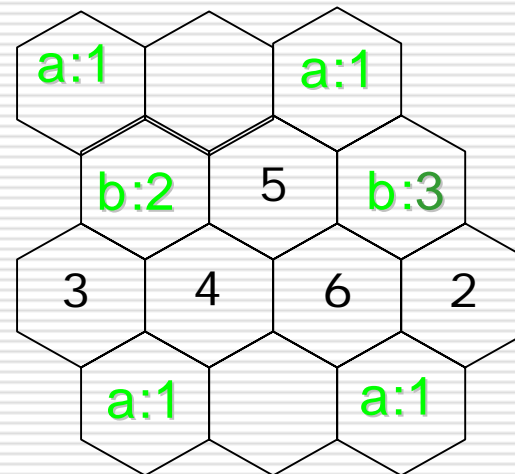


# FAP - lower bound=2 (by adversary)

- A call from each cell a
- A call from each cell b



optimal needs 2.



optimal needs 3.

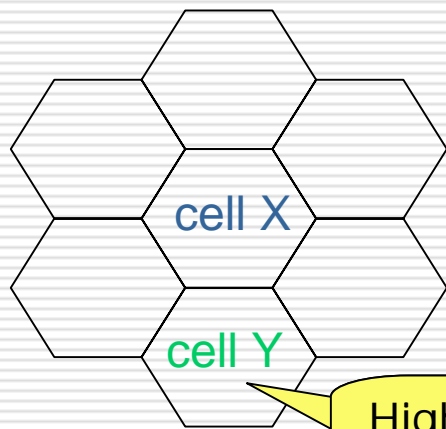
2 is the smallest ratio that we can do for any online algorithm

# Proof of the 2-competitive bound

The span of frequencies used is at most twice that of the optimal.

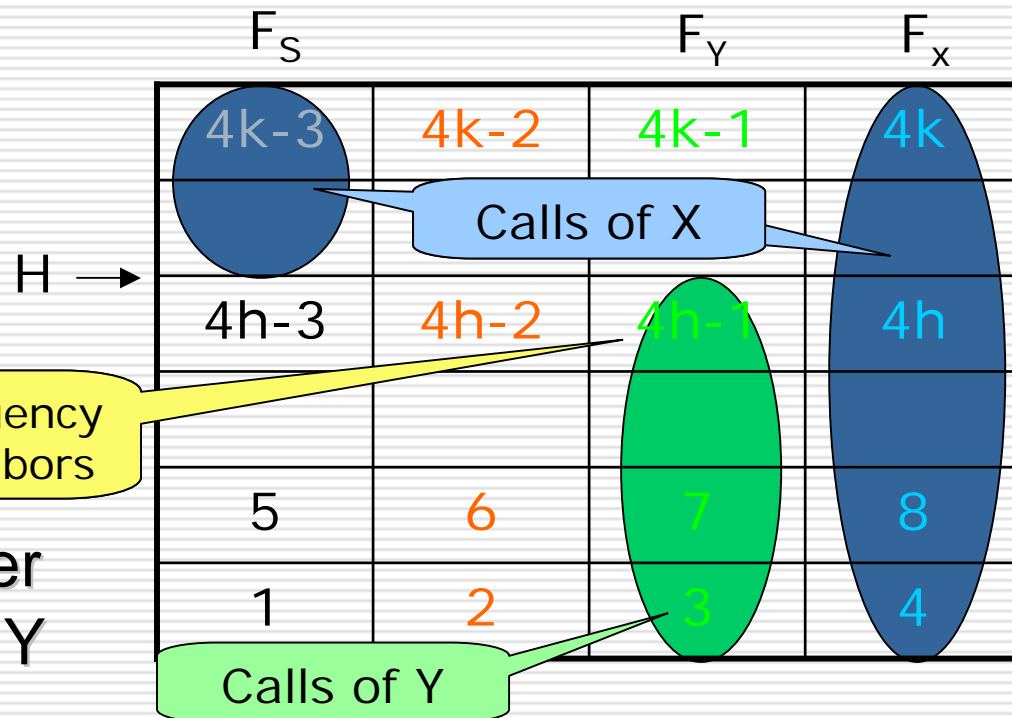
Why?

Suppose **cell X** has the highest frequency,  $4k$



Highest frequency among neighbors

Optimal > total number of calls in cells X and Y



# More to be done?

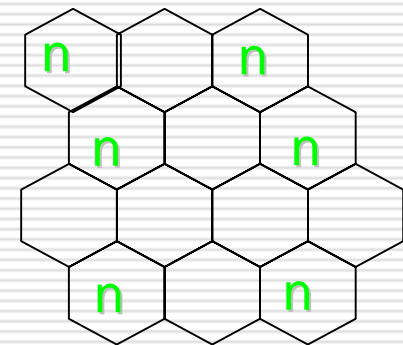
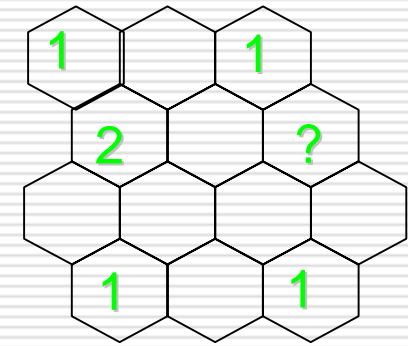
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- Hybrid is 2-competitive
- Lower bound is also 2
- Optimal only when the number of calls is small.
- When the number of calls tends to  $\infty$ , we can do better than 2-competitive.

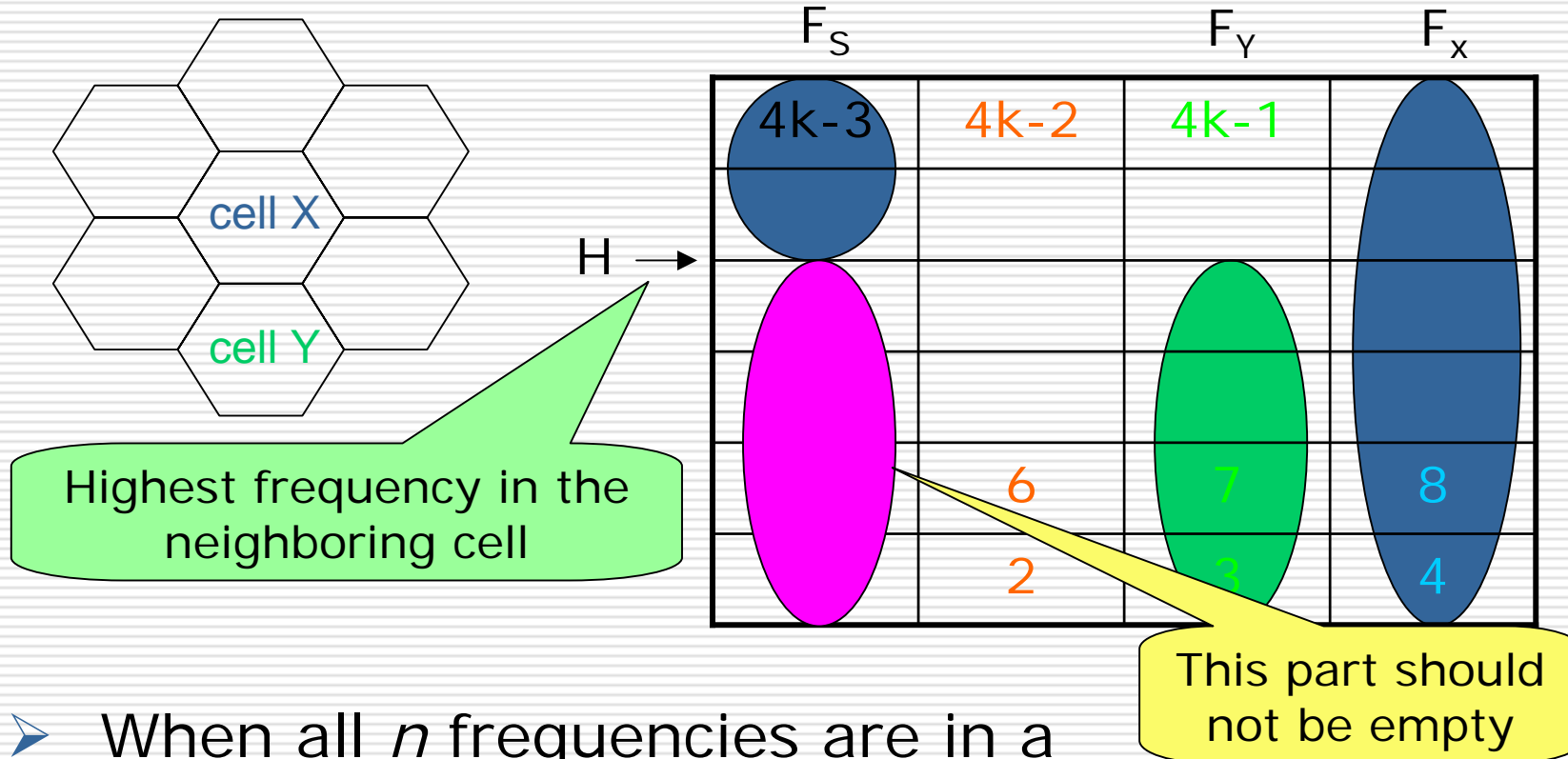
# Asymptotic bounds for FAP

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- In the lower bound proof
  - Optimal requires 2 or 3 frequencies
  - Adversary uses 4 or 6 frequencies with 2 discrete decisions.
  - When the number of calls are large, decisions may be not discrete.
  - Some the same and some different.



# Proof of Upper Bound revisited



- When all  $n$  frequencies are in a single cell  $X$ , the bound of Hybrid is still 2
- The component of greedy should be increased.

# Family of Hybrid Algorithms

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- Frequencies partitioned into groups of

$$\Delta = \alpha + 3\beta$$

greedy

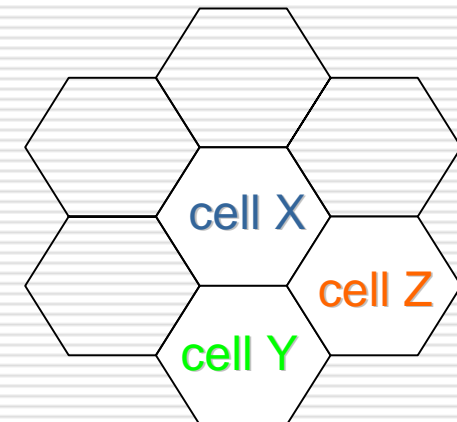
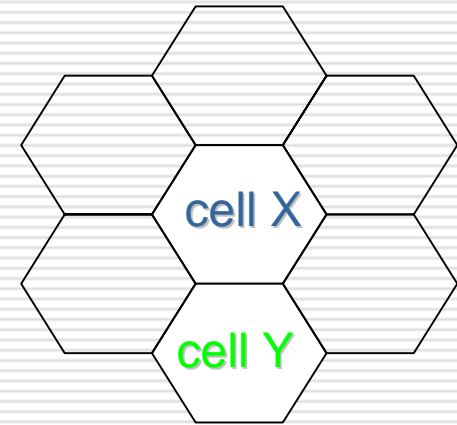
Fixed allocation

- From each group:
  - $F_S$  is assigned  $\alpha$  frequencies
  - Each of  $F_R$ ,  $F_G$  &  $F_B$  is assigned  $\beta$  frequencies
- Define two parameters  $\alpha$  and  $\beta$ 
  - $\alpha=0 \rightarrow$  purely fixed allocation
  - $\beta=0 \rightarrow$  purely greedy
  - $\alpha=\beta \rightarrow$  ordinary hybrid (described before)

# Asymptotic Upper Bound

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- In the **absolute case**, we consider cell X and cell Y
  - Competitive ratio  $\leq$   
(highest frequency in cell X) /  
(total no. of calls in X & Y)
- For the **asymptotic case**, we consider cell X and two of its neighbors.
  - Competitive ratio  $\leq$   
(highest frequency in cell X) /  
(total no. of calls in X, Y & Z)



# Asymptotic and Absolute Upper Bounds

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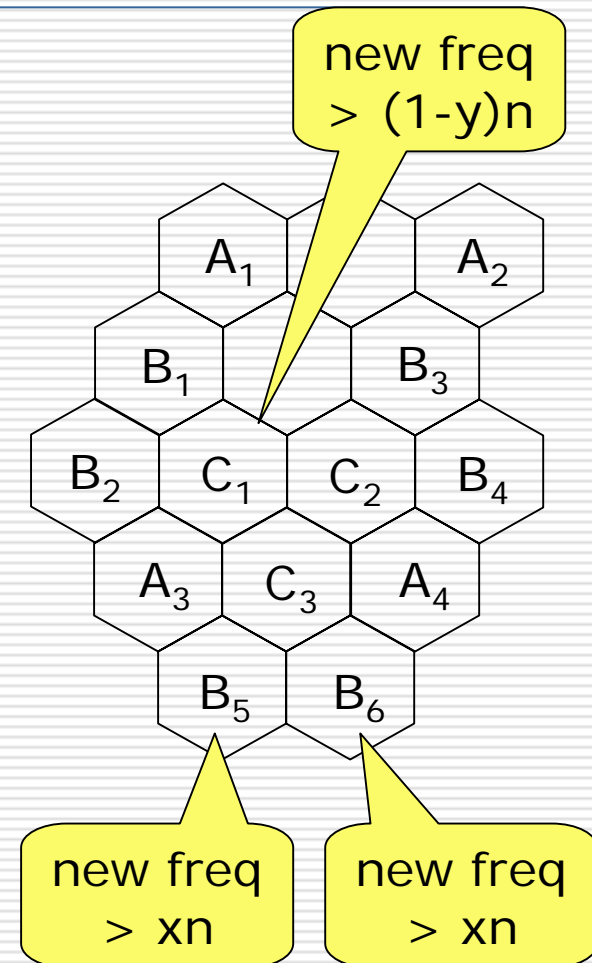
➤ Theorem:

If  $\beta / \alpha \rightarrow 0.8393$  (more greedy),  
asymptotic competitive ratio  $\rightarrow 1.9126$

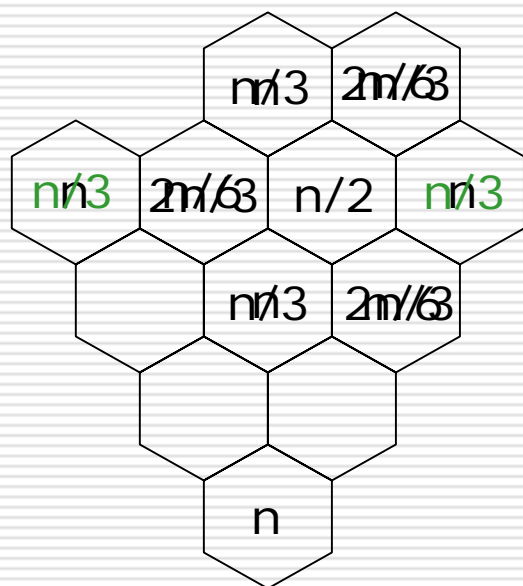
➤ For  $\alpha=13, \beta=11$  ( $\beta / \alpha \approx 0.8462$ ),  
asymptotic competitive ratio  $\rightarrow 1.9167$   
and absolute competitive ratio = 2.

# Asymptotic Lower Bound = 1.5 by Adversary

- $n$  calls from  $A_1, A_2, A_3$  and  $A_4$ 
  - Common freq between any 2 cells =  $xn$
  - No. of distinct freq  $> (2-x)n$
  - Competitive ratio =  $(2-x)$
  - Adversary stops if  $x < 0.5$  as ratio  $> 1.5$ .
- $n$  calls from  $B_1, B_2, B_3, B_4, B_5, B_6$ 
  - No. of distinct freq =  $(2+x+y)n$  [ $y \geq 0$ ]
  - Competitive ratio =  $(2+x+y)/2$ ,
  - Adversary stops if  $x+y > 1$  [so  $x \geq 0.5 \geq y$ ]
- $n$  calls from  $C_1, C_2, C_3$ 
  - $C_i$  gets all new freq except  $yn$
  - Each  $C_i$  uses  $\geq (1-y)n$  new frequencies,
  - The ratio is  $(5+x-2y)/3 \geq 1.5$



# Asymptotic Lower Bound = 2 (with-deletion)



The optimal takes  $n$  frequencies always

- If no of frequency  $> 2n$ , stop. Otherwise
  - Choose 2 with  $> n/3$  common frequencies.
- At least  $2n/3$  "new" frequencies
- At least  $n/3$  "new" frequencies
- At least  $n/3$  "new" frequencies
- Must be totally different from the surrounding cells.
- Optimal uses only  $n$  frequencies.

**Theorem: No online algorithm has the asymptotic competitive ratio less than 2 (with deletion).**

# Other Variations: With deletion

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- Generalized version of the online problem
- A call may terminate at any time
- Performance:
  - Fixed Allocation: 3-competitive
  - Greedy Allocation: 3-competitive [Chan, Chin, Ye, Zhang, Zhu, IPL 2006]
  - Better than 3-competitive? Still open!
- Lower bounds
  - Asymptotic competitive ratio = 2
  - Absolute competitive ratio = ?.

# Coverage by Linear Networks

[Chan, Chin, Ye, Zhang, Zhu, ISAAC 2006]

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- The geographical coverage area is divided into cells aligned in a line
- Online:
  - Upper bound=lower bound= 1.5
  - Asymptotic upper bound= 1.382
  - Asymptotic lower bound= $4/3 \approx$  1.333
- Dynamic (with deletion):
  - Upper bound=lower bound= $5/3 \approx$  1.667
  - Asymptotic upper bound= $5/3 \approx$  1.667
  - Asymptotic lower bound= $14/9 \approx$  1.556

# Other Variations: Different Graphs

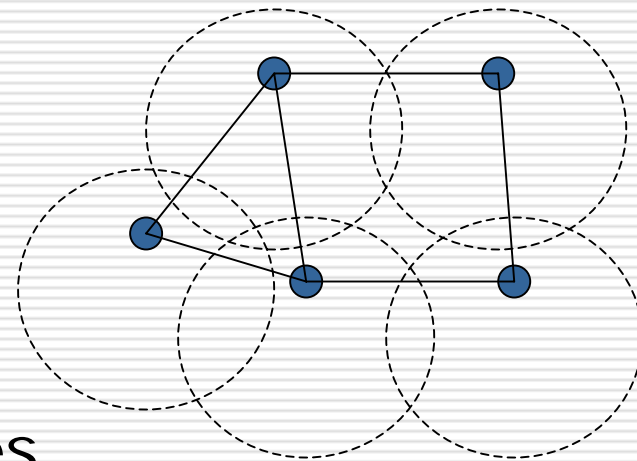
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## ➤ k-colorable graphs

- Online case: Hybrid algorithm is  $(k+1)/2$ -competitive
  - E.g., planar graphs are 4-colorable  
→  $5/2$ -competitive

## ➤ Unit disk graphs

- Vertices → circles
- Edge → intersecting circles



No result known for online or dynamic FAP

# Other Variations: Reuse Distance

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- Current setting → reuse distance=2
- In general, reuse distance= $r$ 
  - Offline
    - $r=3$  → 2-approximation [Kchikech, Togni 2005]
    - $r \geq 4$  → 3-approximation [Kchikech, Togni 2005]
  - Online (and Dynamic)
    - By a fixed allocation scheme
    - $r=3$  → 3-competitive [Jordan, Schwabe, ACM
    - $r=4$  → 4-competitive J. Wireless Networks 1996]

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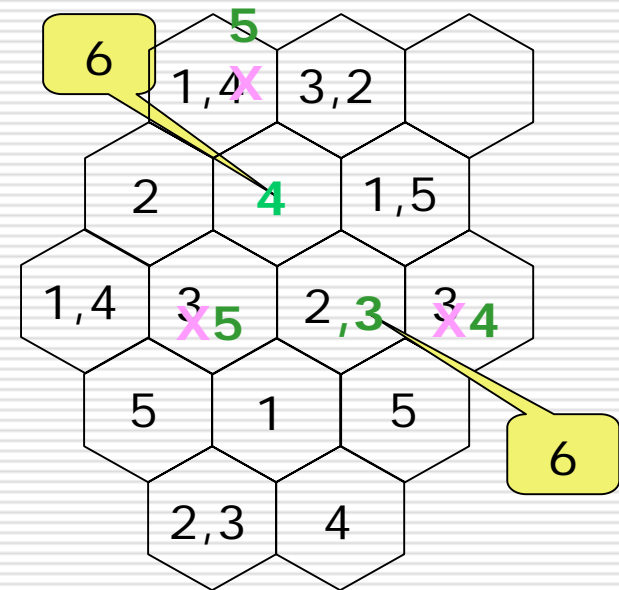
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# FAP with Reassignments

Maximize bandwidth utilization by reassignment of frequencies

Can we get the optimal utilization?

- NP-complete even for offline  
[McDiarmid & Reed Network 2000)
- NP-complete for an algorithm with approximation ratio  $< 4/3$   
[3-colorability problem is NP-complete]



Approximation algorithm with ratio  $4/3$  exists  
[Narayanan & Shenda, Algorithmica 2002;  
Spare et al, J. Pure App Math 2002]

# On-line Distributed Algorithms

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How good is the bandwidth utilization by frequency reassignments on the the neighboring cells?

$k$ -locality: neighboring cells with distance  $\leq k$ .

Fact :  $k$ -local  $\alpha$ -approximation algorithm

→  $k$ -local  $\alpha$ -competitive online algorithm

[Janssen, et.al., J. Algorithms 2000]

- 0-local – 3-competitive
- 1-local – 3/2- improved to 13/9-competitive  
[Chin, Zhang, Zhu, this conference]
- 2-local – 17/12- improved to 4/3-competitive  
[Sparl & Zerovnik, J. Algorithm 2005]
- 4-local – 4/3-competitive

# Unsolved Problems

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- Can we improve the following results?
  - 0-local – 3-competitive
  - 1-local –  $13/9$ -competitive
  - 2-local –  $4/3$ -competitive
- Besides better competitive ratios, do these schemes use the least number of reassignments?

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# Background - Third Generation (3G)

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- Multiple services of 3G communication
  - Voice
  - Messaging - email, fax, etc.
  - Medium-rate multimedia - Internet access,
  - High-rate multimedia - file transfer, video
  - High-rate interactive multimedia - video conferencing, telemedicine, etc.

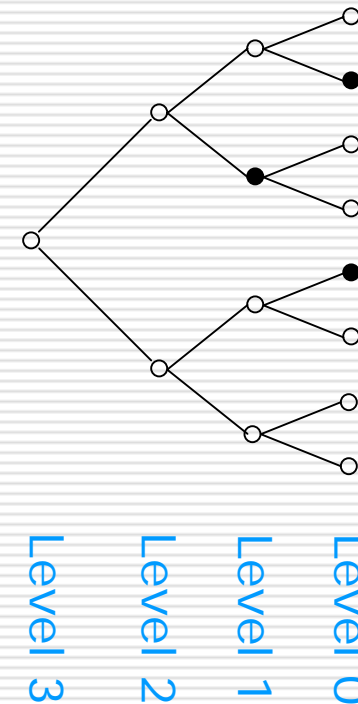
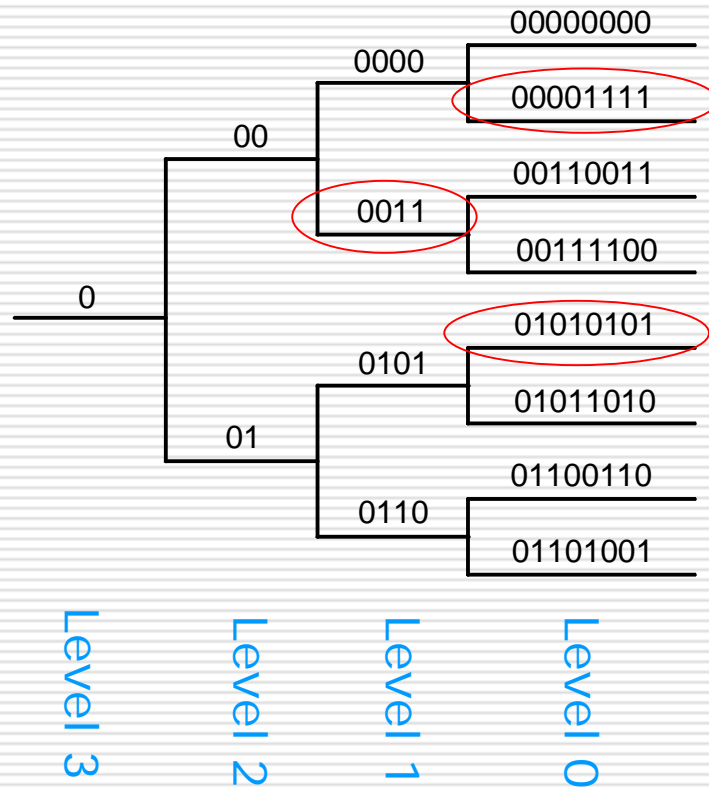
# Background - OVSF Codes

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Wideband Code-Division Multiple-Access (WCDMA)  
with OVSF code

- Structure: a complete binary tree
- Assigned nodes must be *mutual orthogonal*, i.e., each root-to-leaf path contains at most one assigned code (the 2 nodes are neither ancestor nor descendant of each other).

# An Example of OVSF Codes



Data rate of level- $i$  code is twice that of level- $(i-1)$  code

# Problem Background

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Given a code operation sequence  $\delta = \{C_1, C_2, \dots, C_t, \dots, C_n\}$

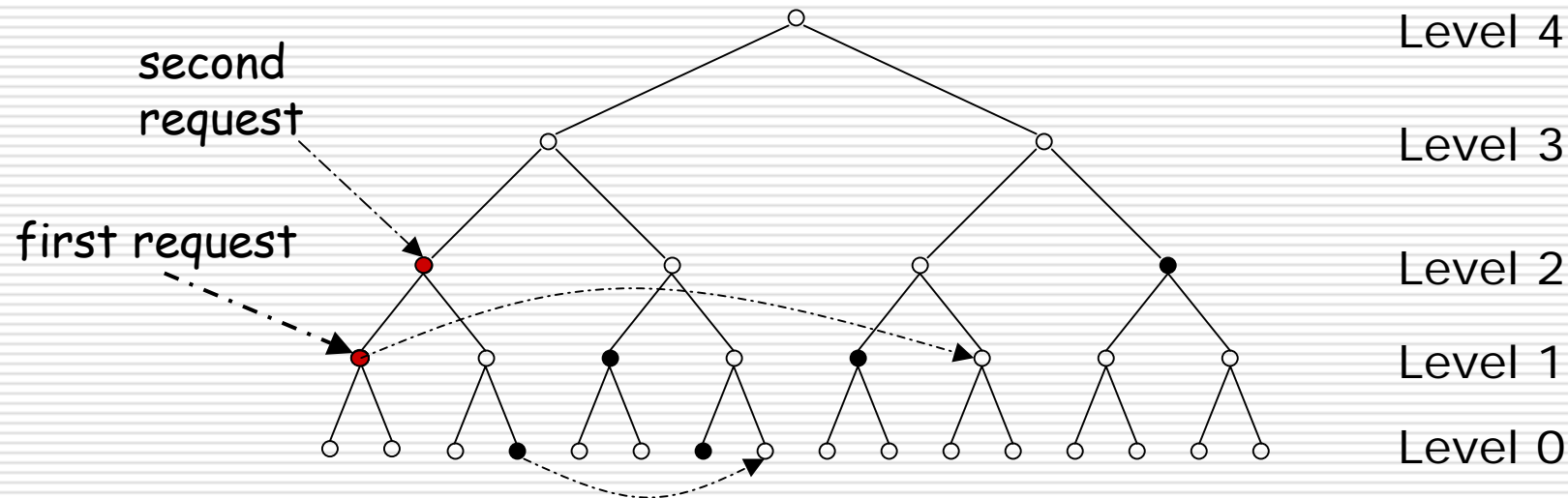
$$C_t = \begin{cases} \text{a code request for a particular level} \\ \text{(cost = 1)} \\ \text{an assigned code release (cost = 0).} \end{cases}$$

## Problems with a code request

- Code blocking or Code-tree fragmentation
- Reassignments of assigned codes might be needed.
- Each reassignment (code request + code release) costs 1 unit.

# Problem Statement - an example

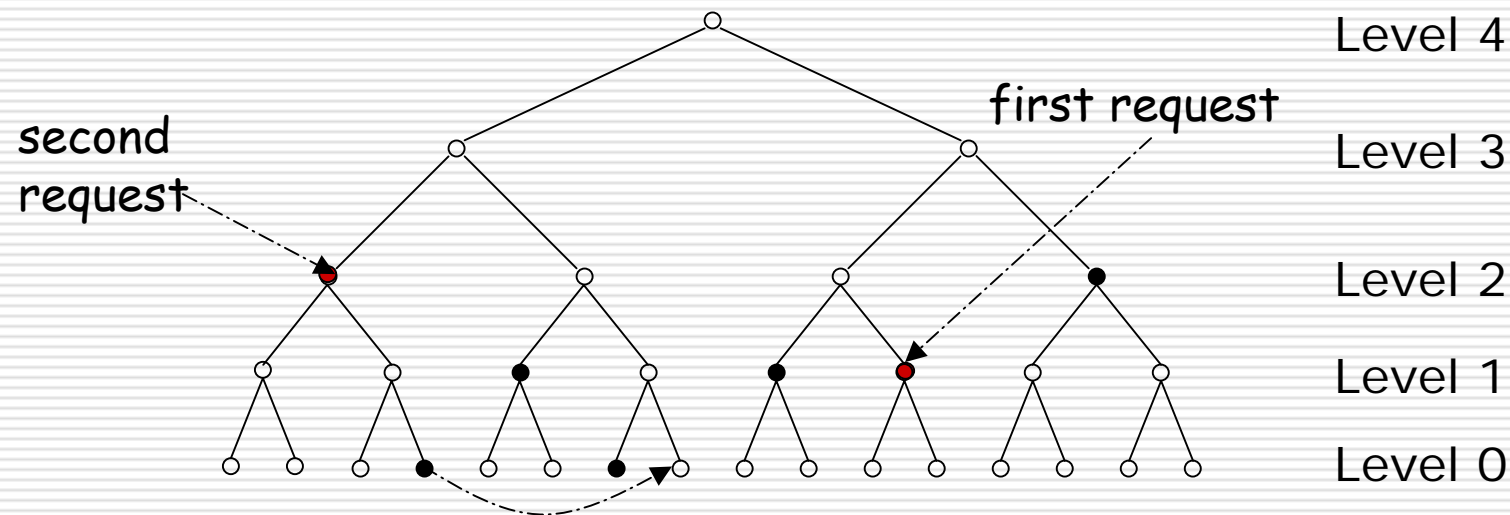
Request : a level-1 code and a level-2 code.



- Code blocking occurs.
- 2 code reassignments are needed.
- Total cost = 4 units (two assignments and two reassignments)

# Another Approach

Request : a level-1 code and a level-2 code



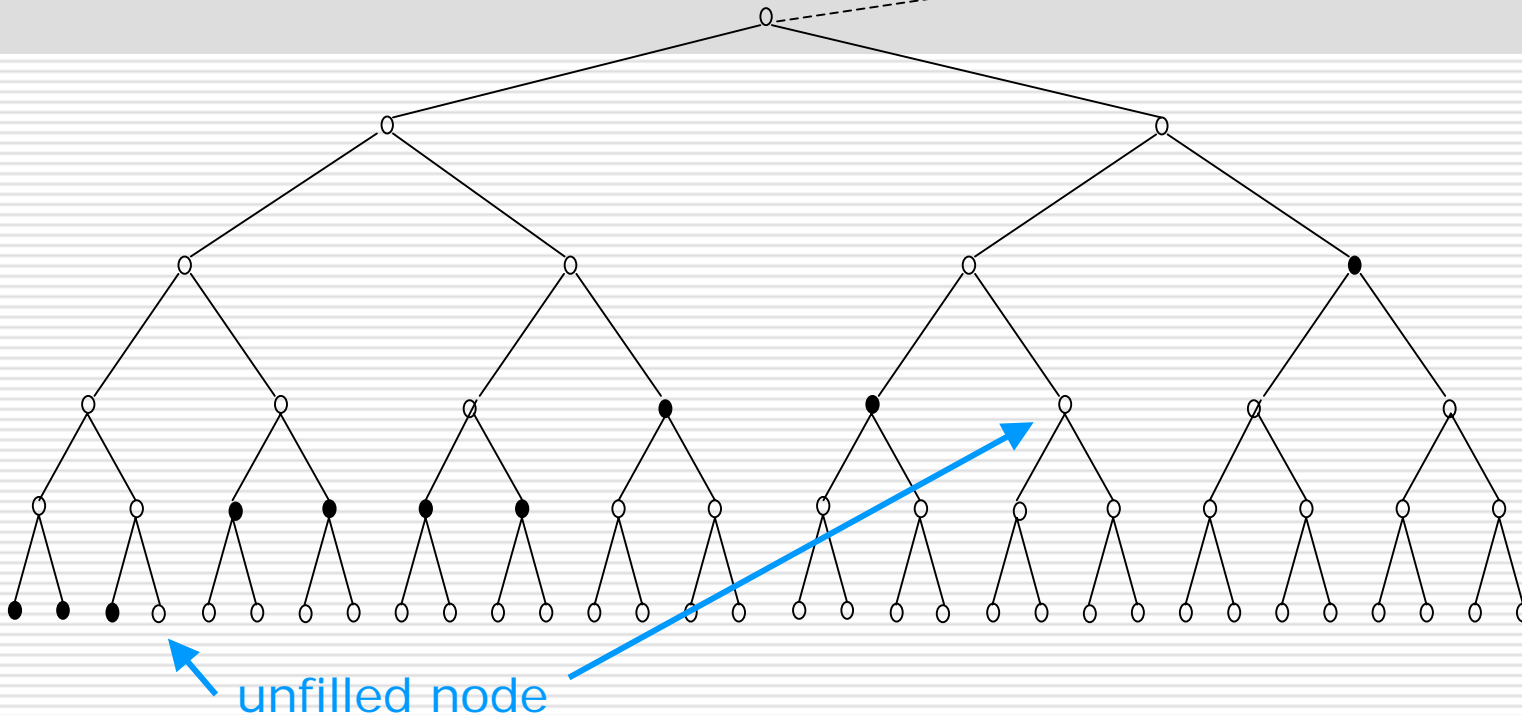
- One code reassignment is needed
- Total cost = 3 units (2 assignments and 1 reassignment)

Problem: Minimize the total cost, i.e., minimize the total number of assignments and reassignments.

# An $O(h)$ -competitive algorithm

(Erlebach et al. STACS2004)

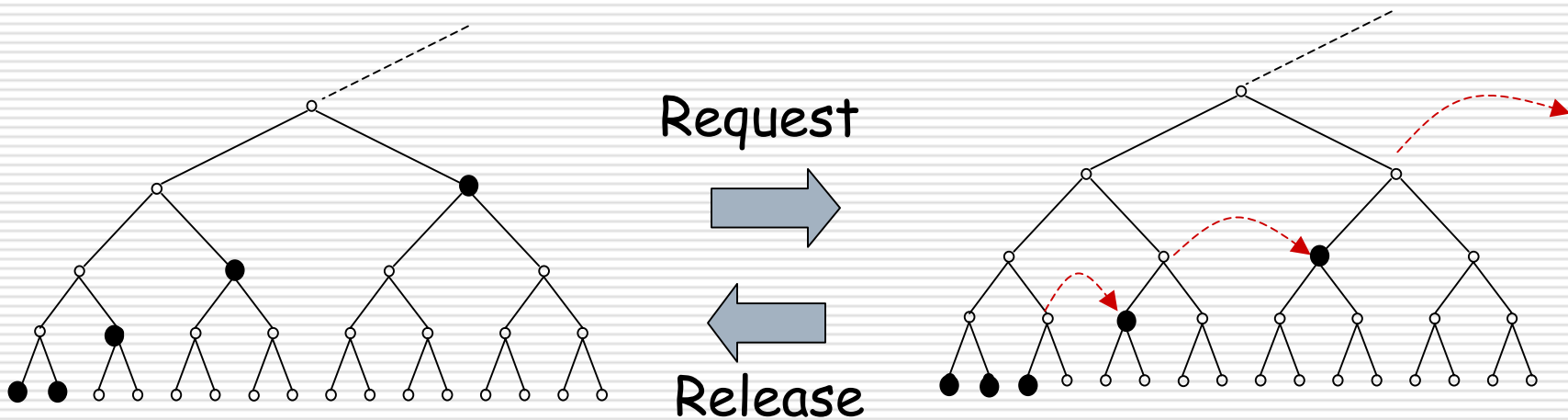
- All assigned codes are **sorted and compact**
  - **Sorted** - by level, from left to right
  - **Compact** - left shift as much as possible (at most one unfilled code at each level)



# Example for $O(h)$ reassignments

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Request or release of a level-0 code



# Recent Results

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## Code Assignment with Extra Resources

- 4-competitive with twice bandwidth  
(Erlebach et al. STACS2004)
- 5-competitive with  $9/8$  bandwidth  
(Chin, Zhang, Zhu, AAIM 07)

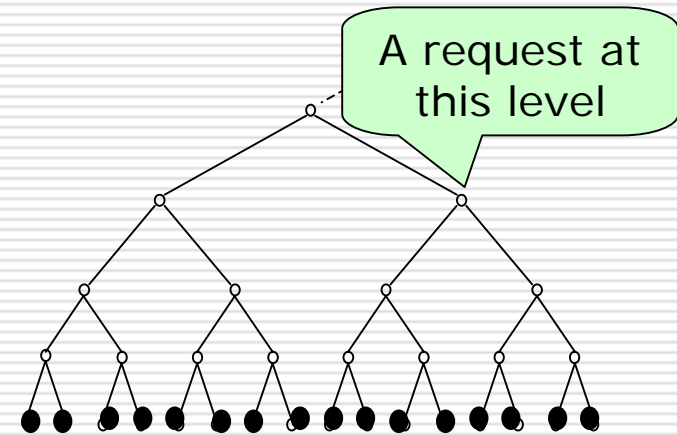
## Code Assignment without Extra Resources

- Constant competitive (amortized)  
(Forisek et al., ESA2007)
- 10-competitive by grouping levels  
(Chin, Ting, Zhu, manuscript)
- 8-competitive and 6-competitive (amortized) by a skew configuration (Chin and Zhu, manuscript)

# Lower Bound

- No online algorithm can be better than 1.5-competitive [Erlebach et al, STAC 2004]
- Outline proof for the 1.5 bound
  - Start with  $n$  assigned leaves
  - Release alternative assigned nodes
  - Request a level- $(h-2)$  node which requires  $n/4$  reassignments
- The cost by adversary  
 $= n + n/2 - 1 + \log n - 1 = 3n/2 + \log n - 2$
- Optimal cost =  $n + \log n$
- The competitive ratio is at least  $3/2$

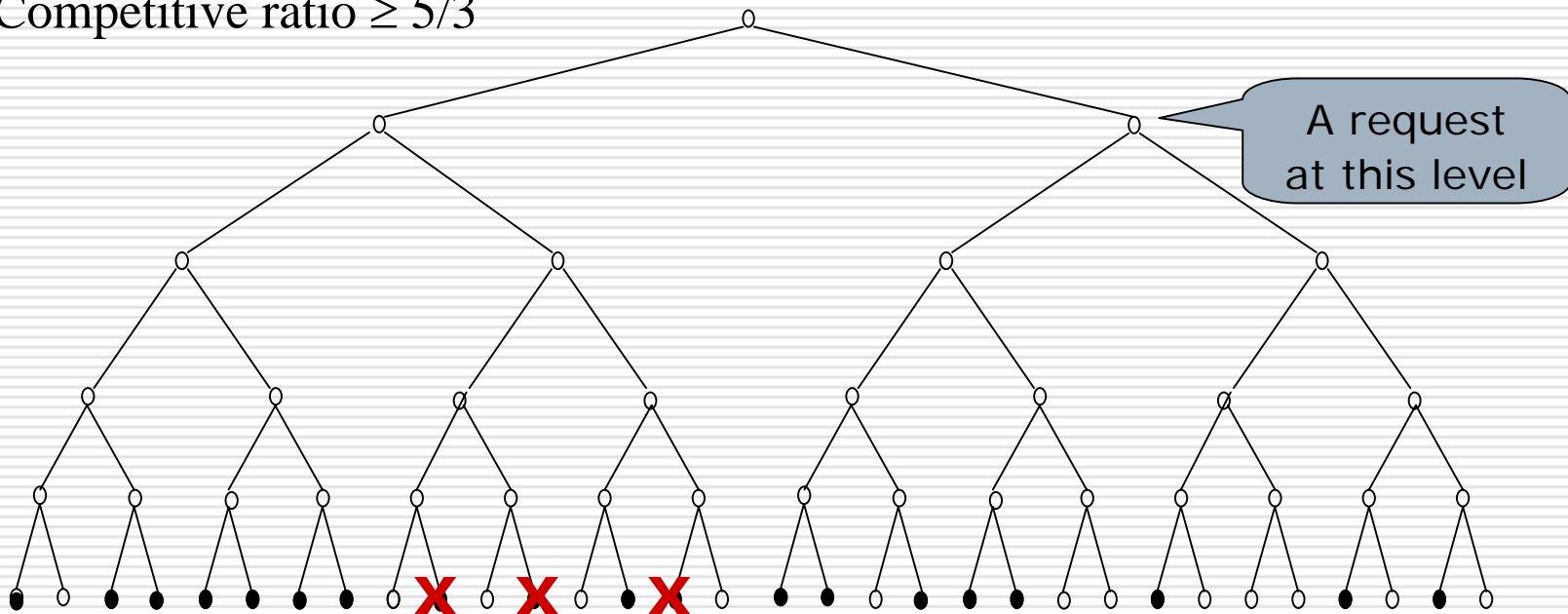
x



Request level	No. of leaves	No of reassign
0	16	4
1	8	2
2	4	1

# An Improved Lower Bound to 5/3

- Each of the two subtrees has at least  $n/4$  assigned leaves (at most  $3n/4$  code requests)
- The remaining steps are similar ...
- The cost by adversary =  $3n/4 + n/2 + \log n - 2 = 5n/4 + \log n - 2$
- Optimal cost =  $3n/4 + \log n$
- Competitive ratio  $\geq 5/3$



# Open problem (OVSF code)

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- The best algorithm is 6-competitive for single cell, lower bound is 1.75.

Can we narrow the gap?

- What is the code assignment for cellular network, instead of single cell?
  - A 5-competitive algorithm with  $19/8$  resource augmentation (Chin et al, AIMM 2007)

# HKU Theory Group at 2006

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PHOTO OF ALGORITHMS RESEARCH GROUP



Deshi Ye

Zhang Yong

W.T. Chan



Thanks  
COCOON 2007!

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Questions?