

# Introducing the Unified Process

## Acknowledgement

Some of the slides are adapted from the course “Object-Oriented Analysis and Design Using UML” by IBM Rational .

## Software Development Problems Symptoms

- ◆ User requirements are not met
- ◆ Users have mixed requirements
- ◆ Modules cannot integrate
- ◆ Difficult to maintain
- ◆ Late discovery of errors
- ◆ Poor user experience
- ◆ Poor performance under load
- ◆ Team effort not coordinated
- ◆ Build-and-release issues .



*Systems Analysis  
from user  
requirements*

3

## Objectives

- ◆ Explain the six best practices
- ◆ Present the (Rational) Unified Process in the context of the six best practices .

2

## Software Development Problems Diagnosis

- ◆ Insufficient requirements analysis
- ◆ Ambiguous interfaces
- ◆ Fragile architecture
- ◆ Unnecessary complexity
- ◆ Undetected inconsistencies
- ◆ Poor testing
- ◆ Subjective assessment
- ◆ Waterfall development
- ◆ Uncontrolled change
- ◆ Insufficient automation .



*Systems Design  
by innovation  
and invention*

4

## Best Practices to Cure Root Causes

<i>Symptoms</i>	<i>Root Causes</i>	<i>Best Practices</i>
◆ Needs are not met	◆ Insufficient analysis	◆ Develop iteratively
◆ Mixed requirements	◆ <b>Ambiguous interfaces</b>	◆ Manage requirements
◆ <b>Modules can't fit</b>	◆ Fragile architecture	◆ Use component architecture
◆ Hard to maintain	◆ Unnecessary complexity	◆ Model visually
◆ Late discovery	◆ <b>Undetected inconsistencies</b>	◆ Continuously verify quality
◆ Poor experience	◆ Poor testing	◆ Manage change
◆ Poor performance	◆ Subjective assessment	
◆ Team effort is not coordinated	◆ Waterfall development	
◆ Build-and-release	◆ Uncontrolled change	
	◆ Insufficient automation	

## Best Practices

- ◆ Develop iteratively
- ◆ Manage requirements
- ◆ Use component architecture
- ◆ Model visually
- ◆ Continuously verify quality
- ◆ Manage change

### *Harvested from ...*

- ◆ Thousands of customers
- ◆ Thousands of projects
- ◆ Industry experts .

6

## Best Practices

- ◆ **Develop iteratively**
- ◆ Manage requirements
- ◆ Use component architecture
- ◆ Model visually
- ◆ Continuously verify quality
- ◆ Manage change .



## Waterfall Model



- ◆ *Traditional development process*
- ◆ *Strictly analysis followed by design*
- ◆ *No iteration allowed .*

7

## Waterfall Model

*What Fundamentals are Important in SE?*  
**What are the Tasks in SE?**

- ◆ Feasibility Study
- ◆ Requirements Analysis
- ◆ Design
- ◆ Programming
- ◆ Unit test
- ◆ Integration test
- ◆ User training
- ◆ Deployment
- ◆ Maintenance



## Waterfall Development Characteristics

*What Fundamentals are Important in SE?*  
**What are the Tasks in SE?**

- ◆ Feasibility Study
- ◆ Requirements Analysis
- ◆ Design
- ◆ Programming
- ◆ Unit test
- ◆ Integration test
- ◆ User training
- ◆ Deployment
- ◆ Maintenance

- ◆ Delays handling of critical subsystems
  - All subsystems are treated equal .

10

## Waterfall Development Characteristics

*What Fundamentals are Important in SE?*  
**What are the Tasks in SE?**

- ◆ Feasibility Study
- ◆ Requirements Analysis
- ◆ Design
- ◆ Programming
- ◆ Unit test
- ◆ Integration test
- ◆ User training
- ◆ Deployment
- ◆ Maintenance

- ◆ Cannot predict time-to-completion
  - 50% of the project completed here? .

11

## Waterfall Development Characteristics

*What Fundamentals are Important in SE?*  
**What are the Tasks in SE?**

- ◆ Feasibility Study
- ◆ Requirements Analysis
- ◆ Design
- ◆ Programming
- ◆ Unit test
- ◆ Integration test
- ◆ User training
- ◆ Deployment
- ◆ Maintenance

- ◆ Disallows early deployment
  - How much of the system can be run here? .

12

## Waterfall Development Characteristics

*What Fundamentals are Important in SE?*  
**What are the Tasks in SE?**

- ◆ Feasibility Study
- ◆ Requirements Analysis
- ◆ Design
- ◆ Programming
- ◆ Unit test
- ◆ Integration test
- ◆ User training
- ◆ Deployment
- ◆ Maintenance

◆ Delays integration and testing

- Most difficult phase here .

13

## Waterfall Development Characteristics

*What Fundamentals are Important in SE?*  
**What are the Tasks in SE?**

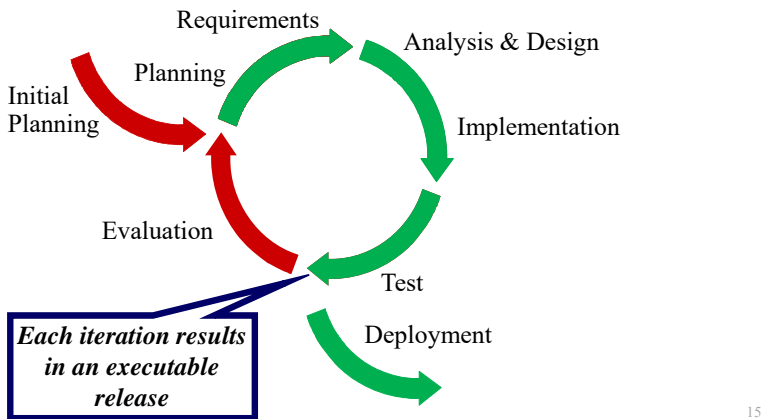
- ◆ Feasibility Study
- ◆ Requirements Analysis
- ◆ Design
- ◆ Programming
- ◆ Unit test
- ◆ Integration test
- ◆ User training
- ◆ Deployment
- ◆ Maintenance

◆ Frequently results in major unplanned iterations

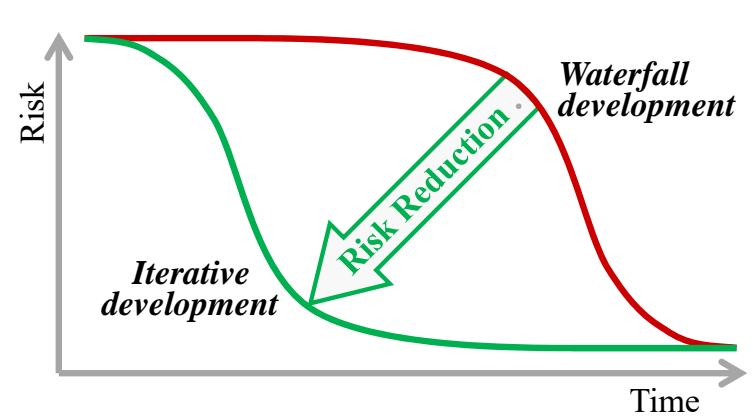
- What if a requirements fault is found here? .

14

## Iterative Development



## Risk Profiles



## Best Practices

- ◆ Develop iteratively
- ◆ **Manage requirements**
- ◆ Use component architecture
- ◆ Model visually
- ◆ Continuously verify quality
- ◆ Manage change .



17

## Requirements Management

- ◆ Make sure you
  - Address the right issues



*Systems Analysis  
from user  
requirements*

## Requirements Management

- ◆ Make sure you
  - Address the right issues
  - Build the system right



*Systems Design by  
innovation and  
invention*

## Requirements Management Examples

- ◆ *Make sure you*
  - Address the right issues



## Requirements Management Examples

- ◆ *Make sure you*
  - Address the right issues
  - Build the system right



## Best Practices

- ◆ Develop iteratively
- ◆ Manage requirements
- ◆ *Use component architecture*
- ◆ Model visually
- ◆ Continuously verify quality
- ◆ Manage change .



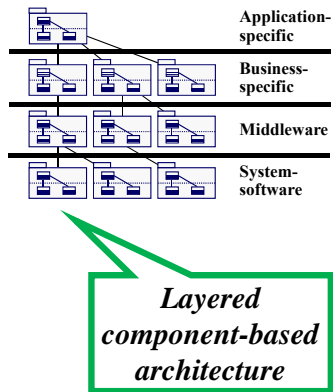
## Requirements Management

- ◆ *Need a systematic approach to*
    - capturing
    - organizing
    - documenting
    - and managing
- the changing user requirements of software application*

## Component-Based Architecture

- ◆ Reuse or customize components
- ◆ Select from commercially-available components
- ◆ Evolve existing software incrementally .

## Component-Based Architecture



- ◆ Basis for reuse
  - Component reuse
  - Architecture reuse
- ◆ Basis for project management
  - Planning
  - Staffing
  - Delivery
- ◆ Intellectual control
  - Manage complexity
  - Maintain integrity .

25

## Resilient Architecture

- ◆ Meets current and future requirements
- ◆ Improves extensibility
- ◆ Enables reuse
- ◆ Encapsulates system dependencies .

26

## Best Practices

- ◆ Develop iteratively
- ◆ Manage requirements
- ◆ Use component architecture
- ◆ **Model visually**
- ◆ Continuously verify quality
- ◆ Manage change .



27

## Common Types of Specification Languages

- ◆ Textual
- ◆ Formal
- ◆ Graphic .

28

## Example of Textual Specification

**McDull** <https://www.youtube.com/watch?v=0oCP7nZhZJo>

麥兜與雞 曲：舒伯特 詞：謝立文 編：何崇志

我個名叫麥兜兜，我啊媽叫麥太太，  
我最喜愛食麥用咯，一起吃雞一起在歌唱。  
我個名叫麥兜兜，我老師叫 Miss Chan Chan，  
我最喜愛食碟雞飯，一起吃雞一起在歌唱。  
但現實就似一只鴨，吓吓一定要 duck duck。  
唔得！唔得！點算嘞？點樣令只雞變做鴨？  
合住個雞包仔，望住四寶雞扎，  
可嘆現實系要一只鴨，加塊荔芋共我一起扎。  
我最喜愛食啫啫雞，我最喜愛食雞 pat pat，  
我最喜愛食豉油皇雞翼，一起吃雞一起在歌唱。  
我最想吃雞，我最終變臘鴨！鴨！鴨！鴨！鴨！

29

## Formal Specification

- ◆ Mathematically defined syntax and semantics
- ◆ Helps to reason on the problems and solutions
- ◆ Helps to verify against ambiguities, inconsistencies, and incompleteness.

31

## Textual Specification

### Problems:

- ◆ Structures are implicit and obscured
  - Lists, tables and hypertext are partial solutions to this problem
- ◆ Natural language is prone to ambiguity
  - Unless expressed as long and complex sequences of text, as in legal documents

Hence, only plays supplementary role in analysis and design.

30

## Example of Formal Specification

*NEW\_MACHINE*

= ( *coinslot.\$5*  
→ ( *coinslot.\$5* → *hatch.cappucino* → *NEW\_MACHINE*  
□ *drink\_button.press* → *hatch.tea* → *NEW\_MACHINE* )  
| *coinslot.\$10*  
→ ( *change\_button.press* → *change.\$5* → *hatch.tea*  
→ *NEW\_MACHINE*  
□ *drink\_button.press* → *hatch.cappucino*  
→ *NEW\_MACHINE* ) )

32



## Formal Specification

---

### *Problems:*

- ◆ Involve unfamiliar concepts and complex notation
- ◆ Difficult in large-scale systems

Use only when necessary, such as

- ◆ Defense systems
- ◆ Safety critical systems
- ◆ Situations where other specifications do not work .

33

## Graphic Specification

---

- ◆ Capture the structure and behavior of architectures and components
- ◆ Easily show how all the pieces fit together
- ◆ Help maintain consistency between design and implementation
- ◆ Promote human communication
- ◆ Hide or expose details as appropriate
- ◆ Most popular in analysis and design .

35

## Example of Graphic Specification McDull

---



## Graphic Specification

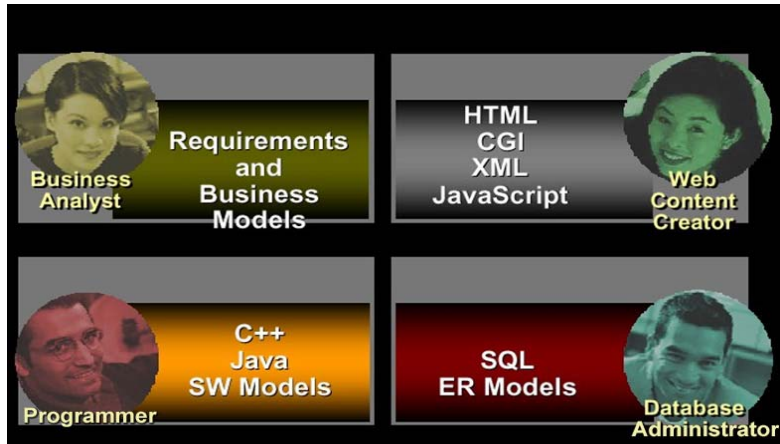
---

### *Problems:*

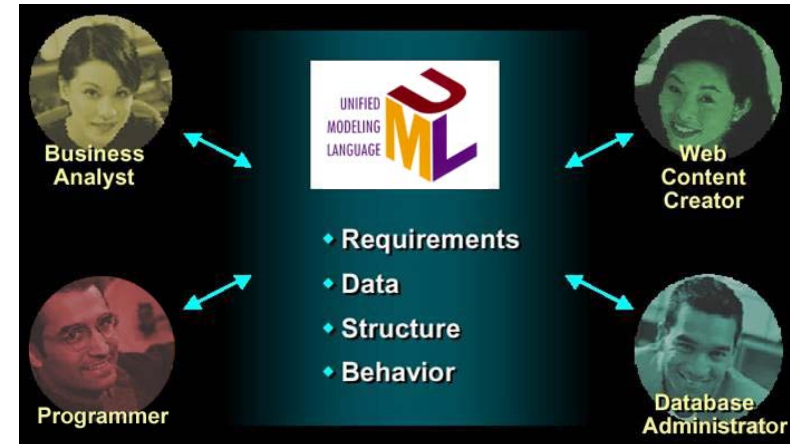
- ◆ Lack of precise syntax and semantics
- ◆ May be interpreted differently by different designers and users .

36

## Multiple Languages = Communication Barriers



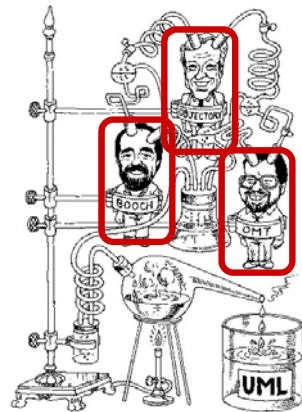
## UML: One Language for All Practitioners



## History of UML

### Integration of

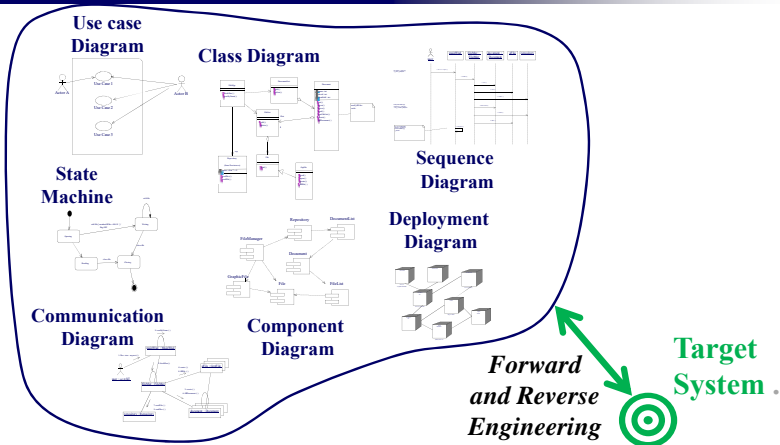
- ◆ Object Modelling Technique
  - by James Rumbaugh
- ◆ Objectory Process
  - by Ivar Jacobson
- ◆ Booch Method
  - by Grady Booch



## Visual Modelling with UML

- ◆ Multiple views
- ◆ “Semi-formal” syntax and semantics .

## Visual Modelling with UML



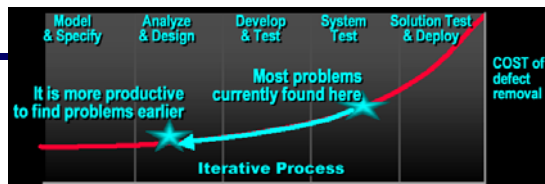
## Best Practices

- ◆ Develop iteratively
- ◆ Manage requirements
- ◆ Use component architecture
- ◆ Model visually
- ◆ **Continuously verify quality**
- ◆ Manage change .



42

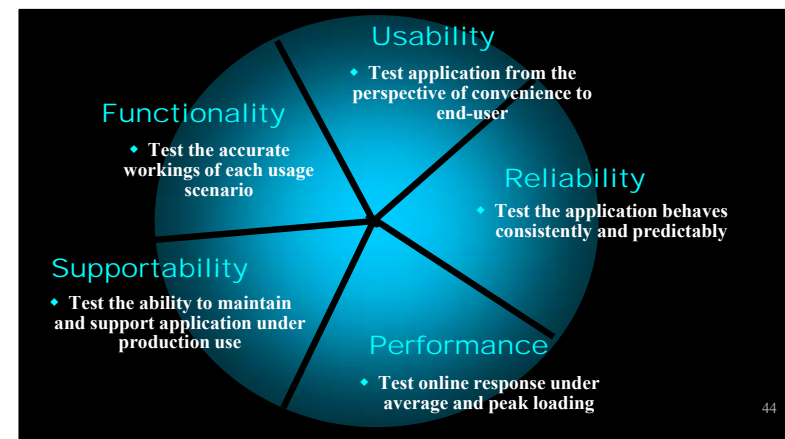
## Continuously Verify Software Quality



### Earlier detection and repair

- ◆ Problems found earlier are less costly to repair
- ◆ Fixing problems earlier leads to higher quality software
- ◆ Identifying and resolving problems earlier result in more realistic and reliable development schedule .

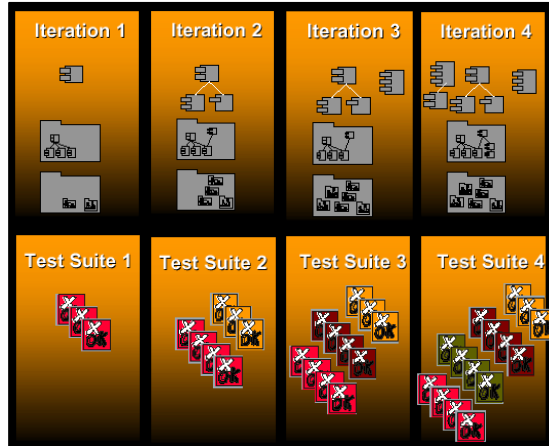
## Test All Dimensions of Software Quality



44

## Test Each Iteration

- ◆ UML models and implementations
- ◆ Tests



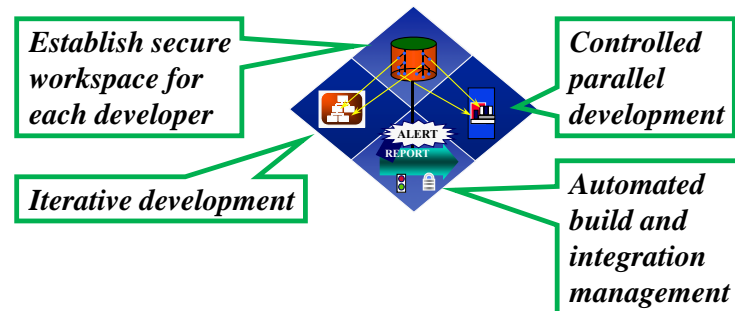
## Best Practices

- ◆ Develop iteratively
- ◆ Manage requirements
- ◆ Use component architecture
- ◆ Model visually
- ◆ Continuously verify quality
- ◆ *Manage change*



46

## Change Management for Development Team



47

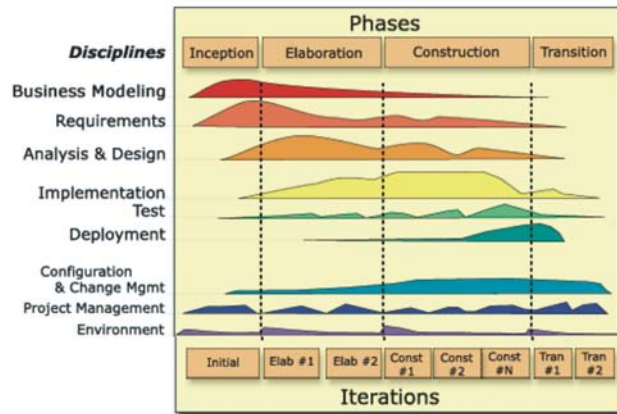
## Best Practices Reinforce One Another

- ◆ Develop iteratively
- ◆ Manage requirements
- ◆ Use component architecture
- ◆ Model visually
- ◆ Continuously verify quality
- ◆ Manage change



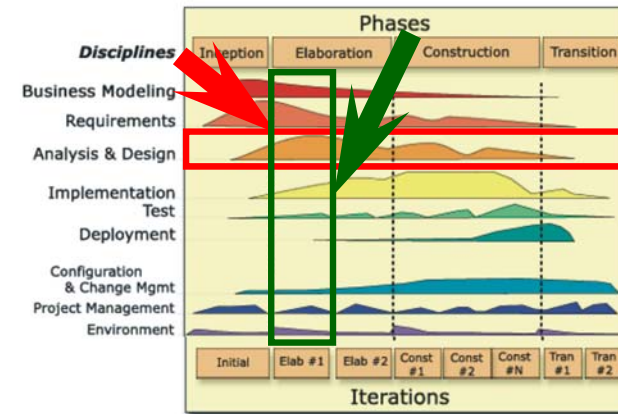
48

## Unified Process



49

## Unified Process



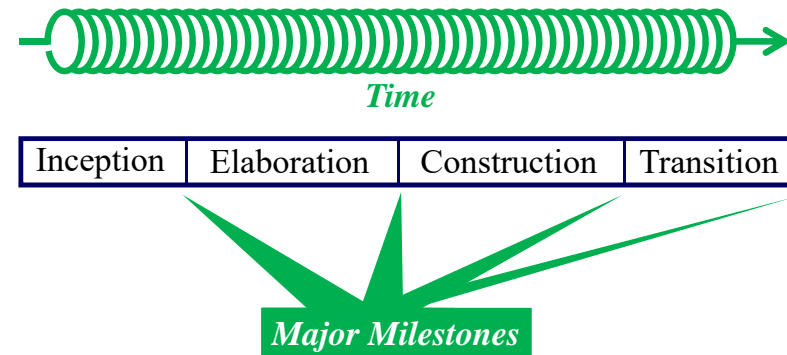
50

## Major Phases in Unified Process

- ◆ **Inception**
  - Mainly to specify project scope
- ◆ **Elaboration**
  - Mainly to analyse problem domain, plan project, and establish baseline architecture
- ◆ **Construction**
  - Mainly to develop system
- ◆ **Transition**
  - Mainly to pass final system to users .

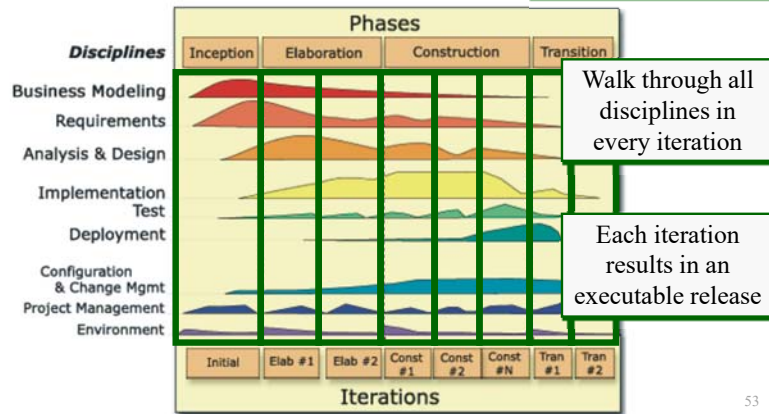
51

## Major Phases in Unified Process



## Bringing it All Together: The Iterative Approach

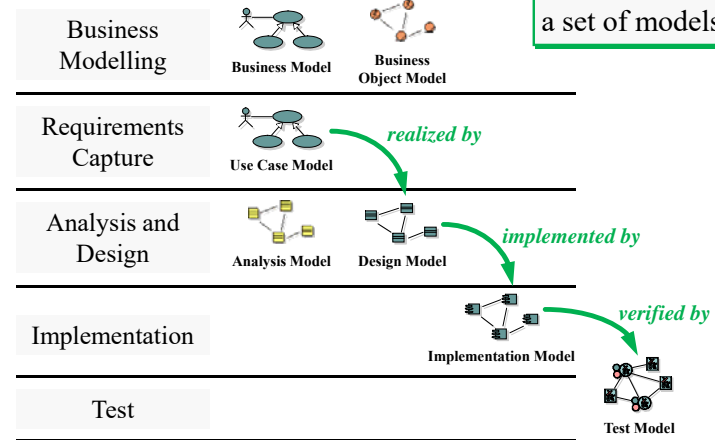
Disciplines group activities logically



53

## Within Each Phase Disciplines and Models

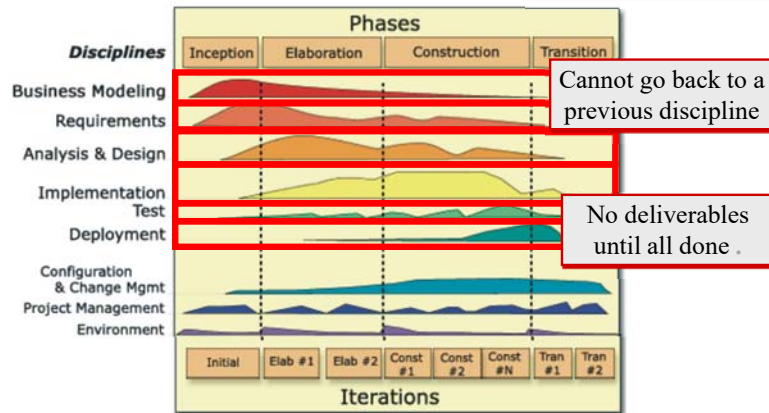
Each discipline describes how to create and maintain a set of models



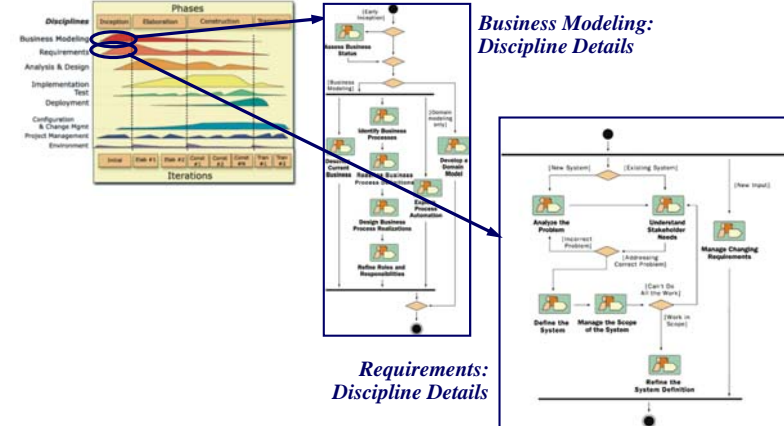
54

## Compared with Waterfall Development

Finish each discipline before the next

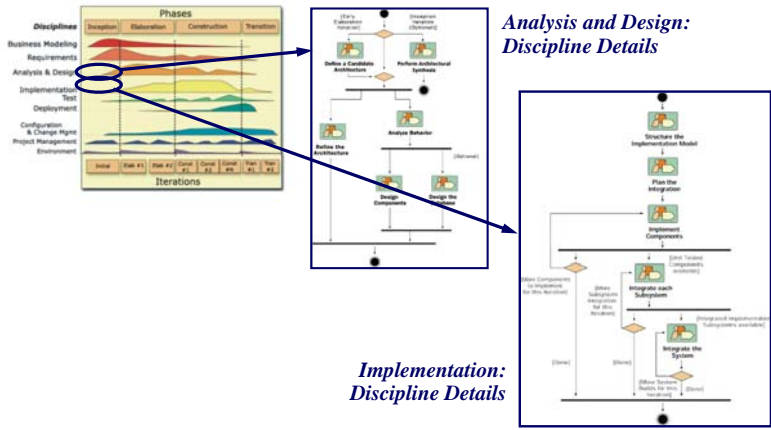


## Disciplines Guide Iterative Development



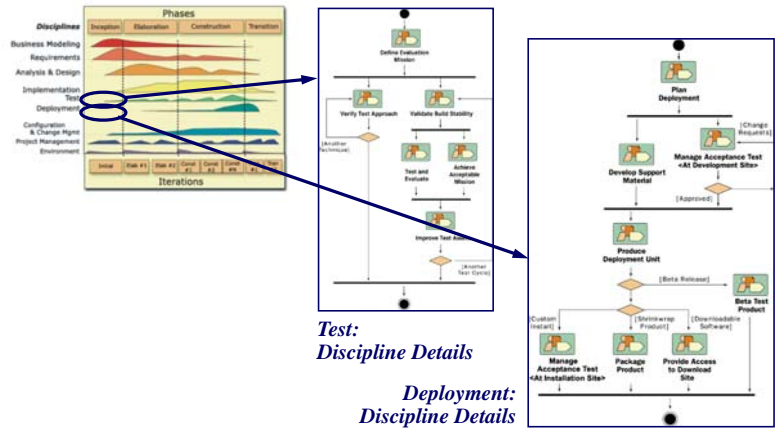


# Disciplines Guide Iterative Development

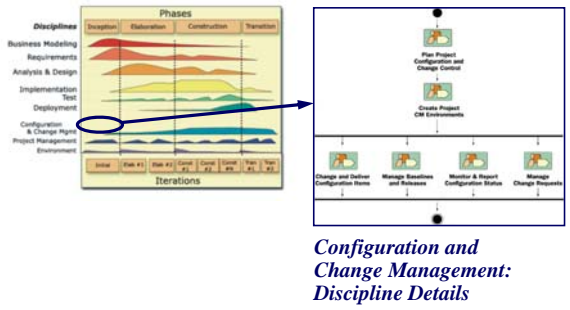


*Implementation:  
Discipline Details*

# Disciplines Guide Iterative Development



# Disciplines Guide Iterative Development



# Disciplines Guide Iterative Development

